



# Explainable optimization by explainable AI

Dominik Schack, Robin Schmidt, Vanessa Gepert (Air Liquide)  
Marco Baldan, Patrick Ludl, Michael Bortz (ITWM)



22.05.2023

Supported by:



Federal Ministry  
for Economic Affairs  
and Energy

on the basis of a decision  
by the German Bundestag



# Air Liquide

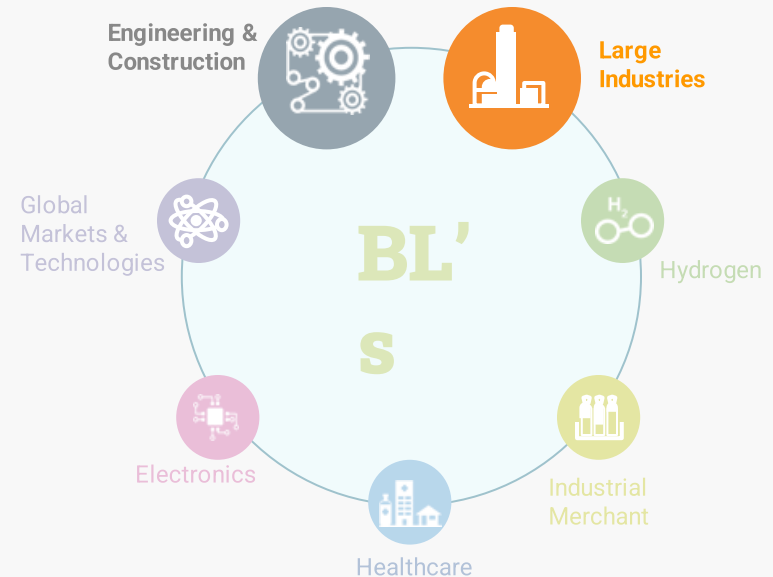
Leveraging the power of digital & data

Air Liquide, we **leverage the power of digital ...**  
It involves harnessing data and **developing digital solutions** to

- better manage our **Assets**,
- interact with our **Customers** and patients,
- and leverage our **Ecosystems**  
-> **the ACE strategy**

## Key Assets

- People: Enabling talents to thrive
- Data: 1 B data points/day



<https://www.airliquide.com/group/digital-transformation-strategy>

# KEEN @ Air Liquide

"AI-based Process Digital Twin"



Our approach:

Focus to our main R&D strength

- Test advanced concepts
- Demonstrate - Generate experience
- Deliver new tools

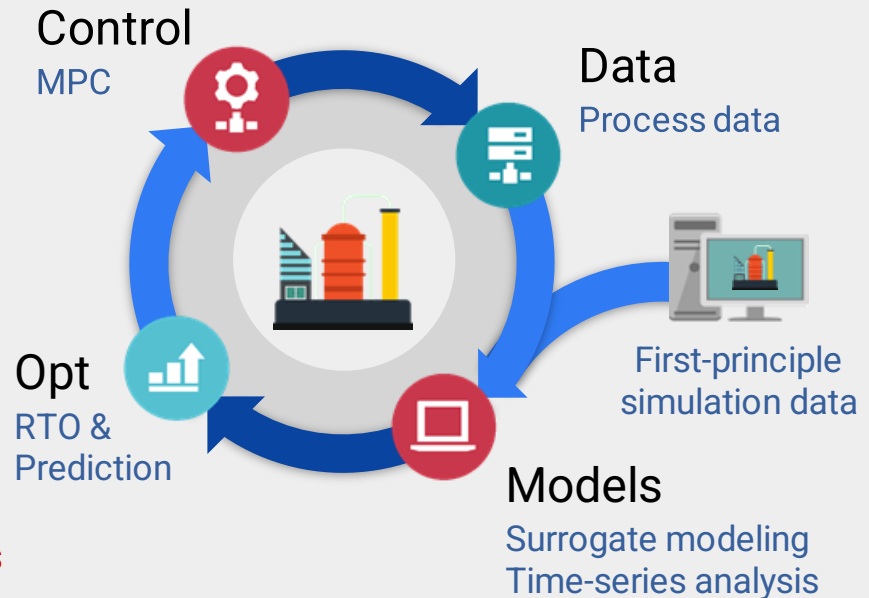
**Key for success: our partner**

Covering the whole cycle

Three main topics:

- Optimisation
- Performance prediction
- MPC & ML

**Paves the way for applications in our SIO centers**



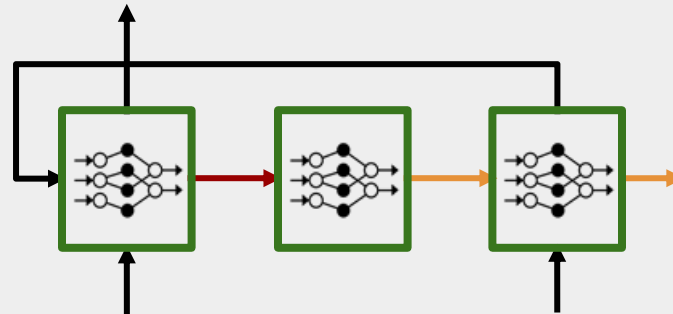
# Joint activities of Air Liquide and ITWM in KEEN

## Data generation:

- Interface Python ↔ Aspen
- Test of sampling strategies on Air Liquide Pre-Reformer model

## Surrogate model generation

- Training of surrogates for flowsheet models and combining them to a surrogate of the complete process

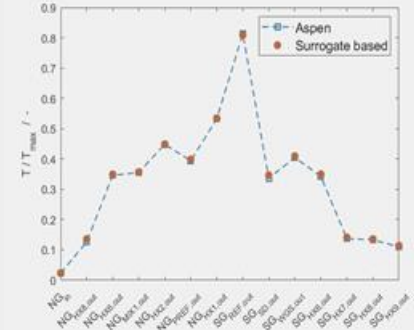
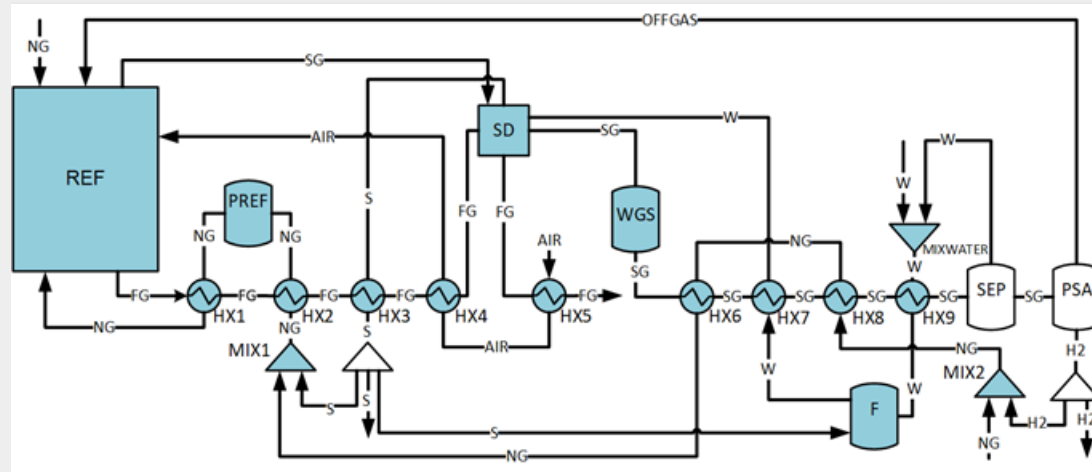


# Surrogate Based Flowsheet Simulation

Flowsheet Simulation Based on Single Surrogates

Fraunhofer Institute for Industrial Mathematics ITWM

- Use Case: Steam Methane Reforming (SMR)
- **Surrogate unit models** for key units
- Connection of single unit models by *Pyomo*



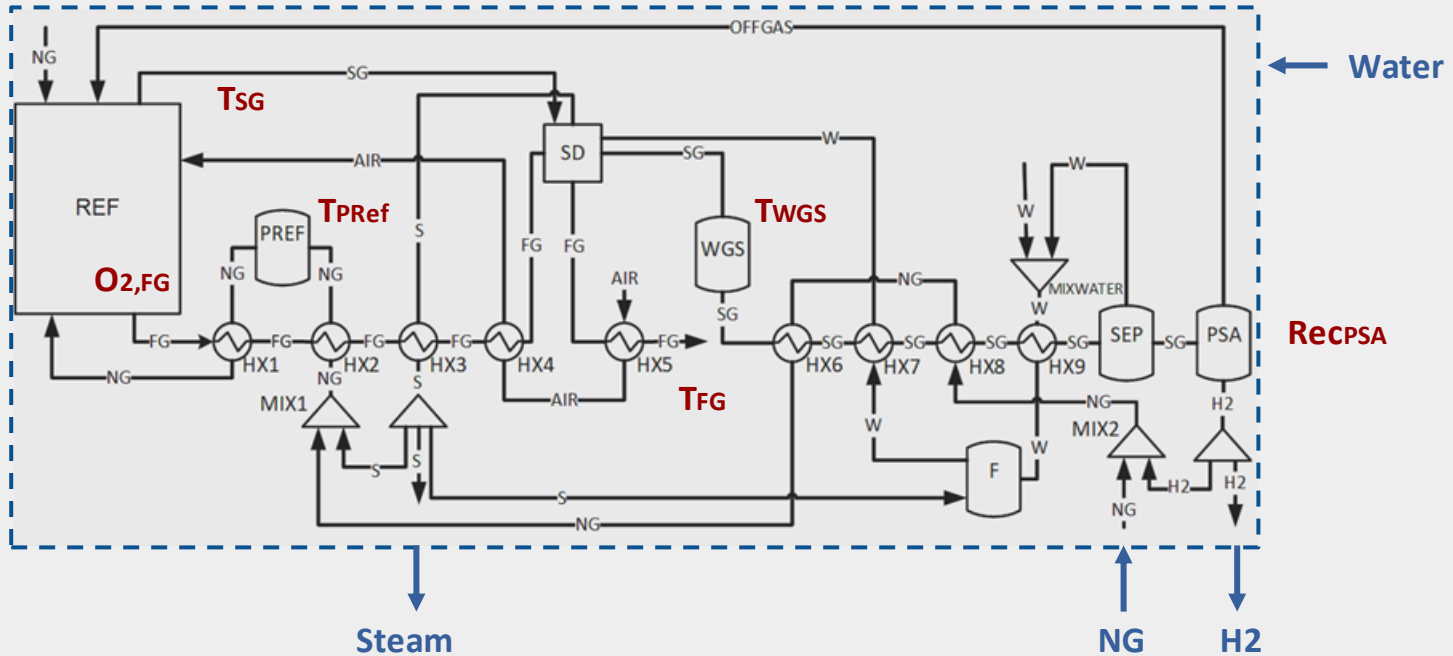
**AI model builder and usage of surrogates in recent versions of commercial flowsheet simulators, e.g. Chemcad, Aspen Plus**

+ high accuracy and flexibility achievable

- high manual effort required

# Surrogate Based Flowsheet Simulation

Overall Process Surrogate



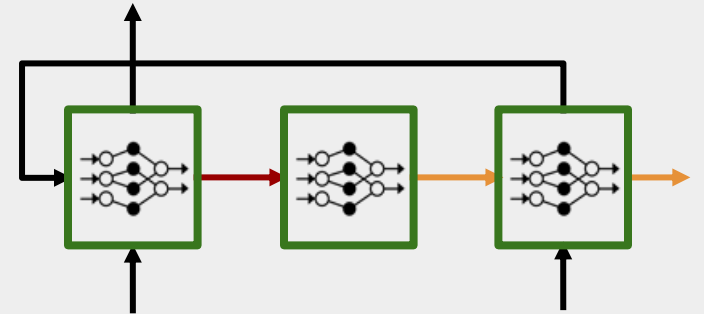
# Use of surrogates

## “What-if” scenarios:

- If inputs changed → How do the outputs change?
- If the outputs should meet a target → How to change the inputs to achieve this?

User interaction with the surrogate model is important!

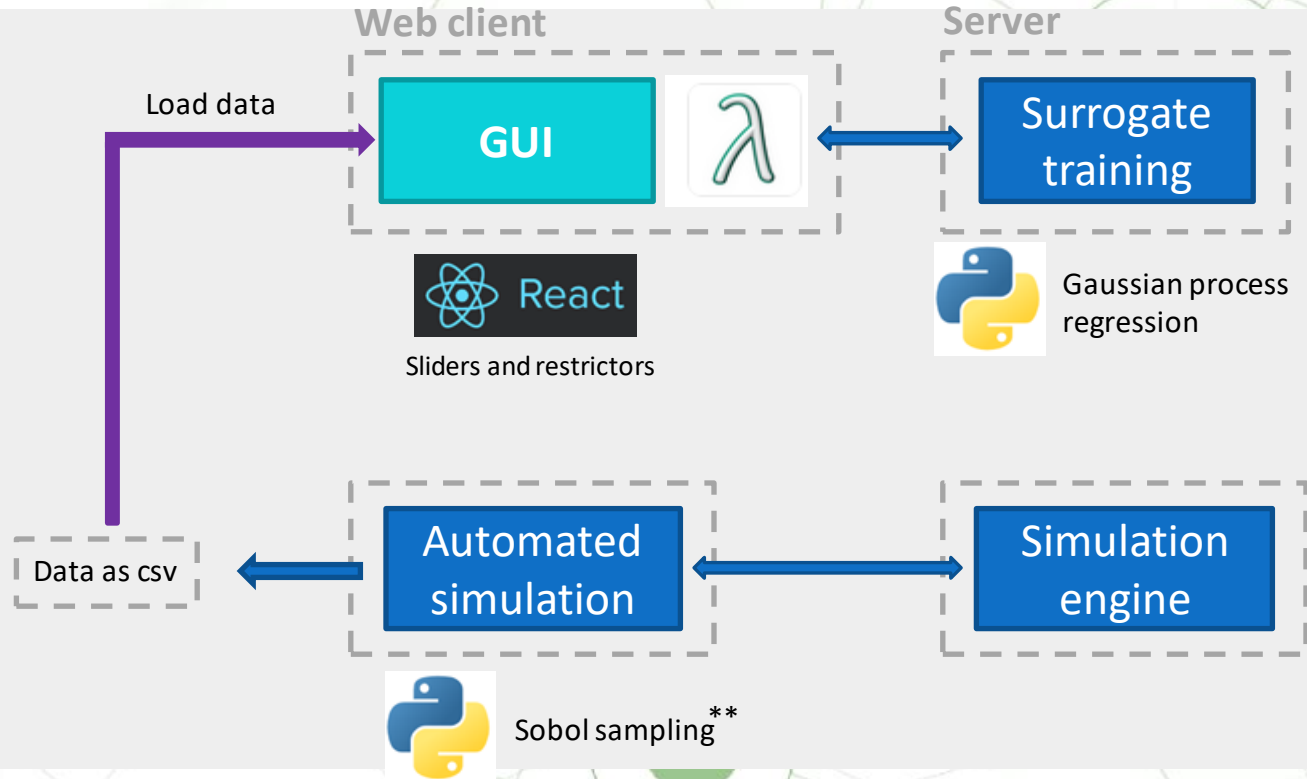
→ Graphical user interface to the surrogate



# Architecture to surrogate models: Interactive what-ifs



Lovely  
analyser for  
models and  
data



\*\* Laurens Lueg, Dominik Schack, Evrim Öe, Robin Schmidt, Patricia Bickert, Martin von Kumatowski, Patrick Otto Lud, Michael Bortz, „Data-driven Process Design exemplified on the Steam Methane Reforming Process“, Proceedings of the 31st European Symposium on Computer Aided Process Engineering June 8th to 9th, 2021, Istanbul, Turkey.



# Interactive what-if exploration with

Interactive (real-time) exploration

Direct/inverse  
navigation

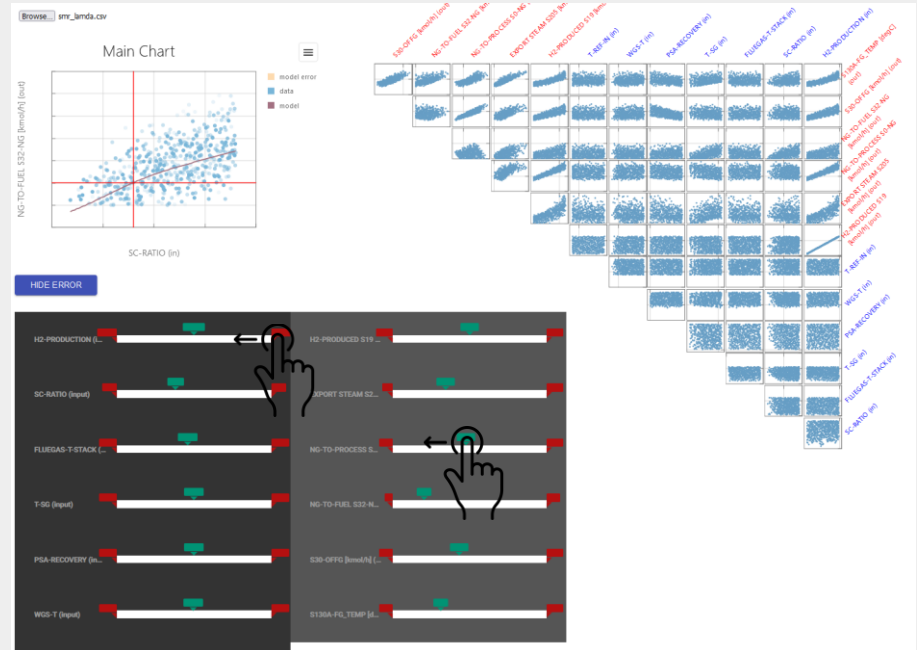


Restriction

More than a model evaluation



**(NLP) Optimization:** find closest  
feasible solution in input space



# Navigation with



## Direct navigation

$$\min_x \|x - x^0\|_2$$

s. t.

$$x_k(x) = x_k^*$$

$$lbx \leq x \leq ubx$$

$$lby \leq S(x) \leq uby$$

$$Ax \leq b$$

## Inverse navigation

$$\min_x \|x - x^0\|_2 + M \|S_{h \neq k}(x) - y_{h \neq k}^0\|_2$$

s. t.

$$S_k(x) = y_k^*$$

$$lbx \leq x \leq ubx$$

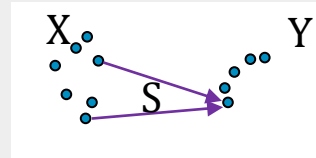
$$lby \leq S(x) \leq uby$$

$$Ax \leq b$$



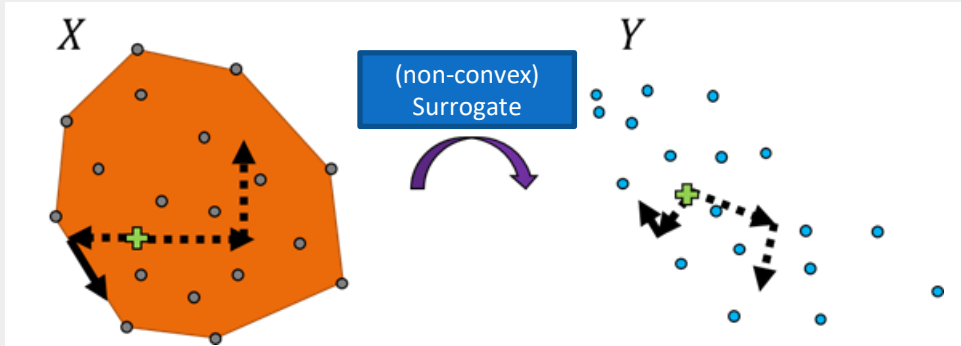
convex hull in input

No inverse surrogate



M. Baldan et al., Chem. Ing. Tech. 2023, 95(7), 1–14

# Supporting a reliable surrogate



How to improve the **reliability** of the surrogate?

By **constraining** input sliders inside the **convex hull** spanned by the input data

Why a **convex hull**?

- ✓ Linear constraints
- ✓ Cheap to compute
- ✓ / ✗ Convex space

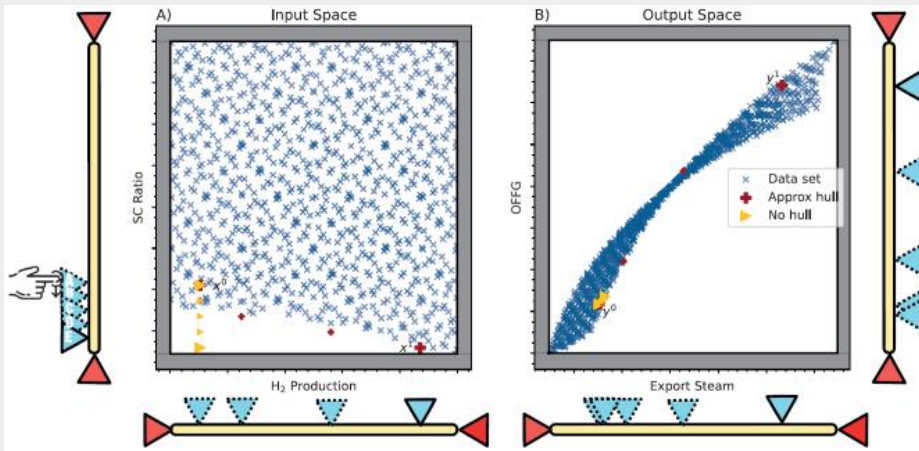
✗ ~~Many~~ constraints (reduced hull)

M. Baldan et al., Chem. Ing. Tech. 2023, 95(7), 1–14

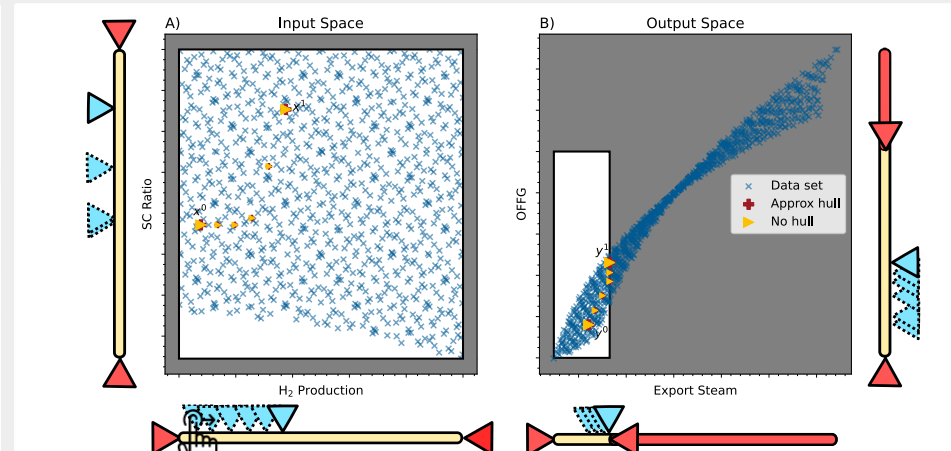
# Navigation with $\lambda$



## Use Case: Steam Methane Reforming (SMR)



Effect of convex hull



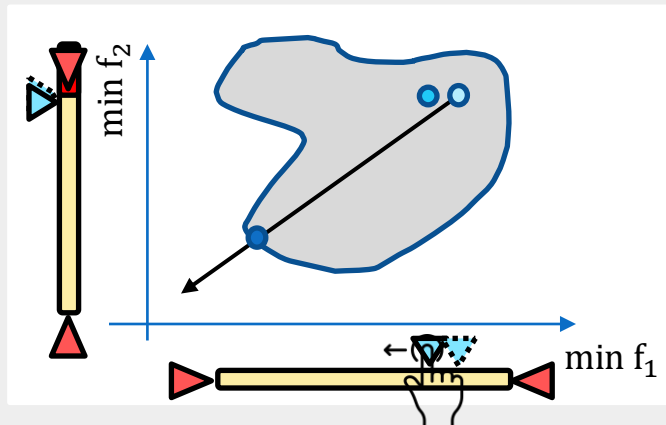
Effect of restriction

M. Baldan et al., Chem. Ing. Tech. 2023, 95(7), 1–14

# Multi-criteria optimization with $\lambda$ navigation

$$\begin{aligned} \min_x & S_1(x), \dots, S_M(x) \\ \text{s.t.} & \\ & Ax \leq b \\ & \dots \end{aligned}$$

## Inverse navigation

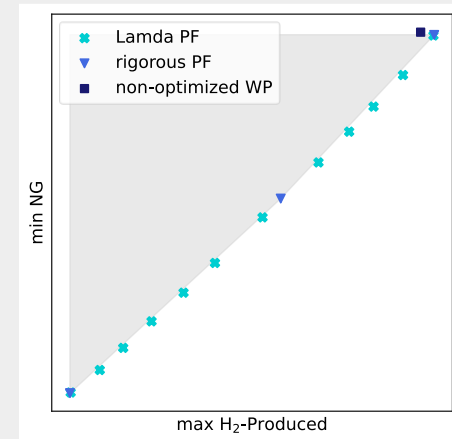


Selection  
Restriction



Pascoletti  
Serafini  
scalarization

## SMR



- ✓ User friendly
- ✓ No (additional) optimizer
- ✓ Non-convex fronts

# Summary



- Software architecture to surrogates
- Real-time interactive exploration supporting reliable surrogates
- Multi-criteria optimization via navigation
- Application to an industrial process

# Air Liquide, ITWM

- Contact:
  - Air Liquide: Robin Schmidt, Dominik Schack, Vanessa Gepert
  - ITWM: Patrick Ludl, Marco Baldan, Michael Bortz

**Thank you for your attention!**

